



#7  
02-26-04 CW  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Naruto ENTANI

Appln. No.: 09/834,944

Confirmation No.: 5344

Filed: April 16, 2001

RECEIVED  
FEB 24 2004  
GROUP 3600

Docket No: Q64103

Group Art Unit: 3621

Examiner: Daniel L. Greene

For: LITERARY WORK ROYALTY ACCOUNTING METHOD, NETWORK SYSTEM THEREFOR AND RECORDING MEDIUM ON WHICH CONTROL PROGRAM THEREFOR IS RECORDED

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. 2000-90148, published March 31, 2000.
2. Japanese Unexamined Patent Application Publication No. H11-96258, published April 9, 1999.
3. Japanese Unexamined Patent Application Publication No. H11-85502, published March 30, 1999.
4. Japanese Unexamined Patent Application Publication No. H11-53185, published February 26, 1999.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/834,944

5. Japanese Unexamined Patent Application Publication No. H10-51573, published February 20, 1998.
6. Japanese Unexamined Patent Application Publication No. H10-20958, published January 23, 1998.
7. Japanese Unexamined Patent Application Publication No. H10-20956, published January 23, 1998.
8. Japanese Unexamined Patent Application Publication No. H9-282157, published October 31, 1997.
9. Japanese Unexamined Patent Application Publication No. H8-54951, published February 27, 1996.
10. Japanese Unexamined Patent Application Publication No. H7-56888, published March 3, 1995
11. Japanese Unexamined Patent Application Publication No. H7-230335, published August 29, 1995.
12. Toshinari Suematsu and Hideki Imai, "A superdistribution label delivery type superdistribution system that allows for protection of user privacy," Denshi Joho Tsushin Gakkai Ronbunshi A, Japan, Institute of Electronics, Information and Communication Engineers, 25 October 1998, Vol. J81-A, No. 10, pages 1377–1385.
13. Naoya Torii, Yuji Kishima, Tsuneo Katsuyama and Koji Omori, "System architecture for superdistribution," Denshi Joho Tsushin Gakkai Gijutsu Kenkyu Hokoku (ISEC94–21), Japan, Institute of Electronics, Information and Communication Engineers, 21 September 1994, Vol. 94, No. 240, pages 59–66.
14. Kazunori Seki, Hiroyuki Sakakibara, Kenichi Okada and Atsushi Matsushita, "Proposal for a new software distribution arrangement using encryption," Joho Shori Gakkai Kenkyu Hokoku (93-IS-45), Japan, Information Processing Society of Japan, 20 July 1993, Vol. 93, No. 64, pages 19–28.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/834,944

merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding Japanese Office Action dated December 2, 2003 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Howard L. Bernstein  
Registration No. 25,665

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: February 20, 2004



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q64103

Naruto ENTANI

Appln. No.: 09/834,944

Group Art Unit: 3621

Confirmation No.: 5344

Examiner: Daniel L. Greene

Filed: April 16, 2001

For: LITERARY WORK ROYALTY ACCOUNTING METHOD, NETWORK SYSTEM THEREFOR AND RECORDING MEDIUM ON WHICH CONTROL PROGRAM THEREFOR IS RECORDED

STATEMENT UNDER 37 C.F.R. § 1.97(e)

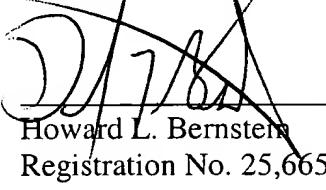
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

  
Howard L. Bernstein  
Registration No. 25,665

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: February 20, 2004

RECEIVED  
FEB 24 2004  
GROUP 3600



**Substitute for Form 1449 A & B/PTO**

FEB 20 2004

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

1

*Complete if Known*

Application Number	09/834,944
Confirmation Number	5344
Filing Date	April 16, 2001
First Named Inventor	Naruto ENTANI
Art Unit	3621
Examiner Name	Daniel L. Greene
Attorney Docket Number	O64103

## U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			

## FOREIGN PATENT DOCUMENTS

**RECEIVED**

FFB 24 2004

# ~~GROUP 3600~~

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
	/	Toshinari Suematsu and Hideki Imai, "A superdistribution label delivery type superdistribution system that allows for protection of user privacy," Denshi Joho Tsushin Gakkai Ronbunshi A, Japan, Institute of Electronics, Information and Communication Engineers, 25 October 1998, Vol. J81-A, No. 10, pages 1377-1385.	
	v	Naoya Torii, Yuji Kishima, Tsuneo Katsuyama and Koji Omori, "System architecture for superdistribution," Denshi Joho Tsushin Gakkai Gijutsu Kenkyu Hokoku (ISEC94-21), Japan, Institute of Electronics, Information and Communication Engineers, 21 September 1994, Vol. 94, No. 240, pages 59-66.	
	/	14. Kazunori Seki, Hiroyuki Sakakibara, Kenichi Okada and Atsushi Matsushita, "Proposal for a new software distribution arrangement using encryption," Joho Shori Gakkai Kenkyu Hokoku (93-IS-45), Japan, Information Processing Society of Japan, 20 July 1993, Vol. 93, No. 64, pages 19-28.	

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.